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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Grindstaff t al.

Examin r:

L sli Wong

Serial No.

09/770,031

**Group Art Unit:** 

1761

Filed:

January 25, 2001

Docket No.

707.001US1

Title:

SHAPED CHEESE RECONSTRUCTION WITH TRANSGLUTAMINASE

#### MAIL STOP APPEAL BRIEF-PATENTS

Commissioner for Patents P.O. Box 1450 Alexandria. VA 22313-1450

# The following documents are hereby submitted:

Replacement Appeal Brief to the Board of Patent Appeals and Interferences of the United States Patent and Trademark Office (three copies)

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers if an additional extension of time is deemed necessary by the Office. Authorization is hereby given to charge Deposit Account Number 50-1391 if such additional extension is necessary.

MARK A. LITMAN & ASSOCIATES, P.A.

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Atty: Mark X. Litman Reg. No. 26,390

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Appeal Brief - Patents, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on January 21, 2004.

Mark A. Litman

Name

Signature



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Examiner:

Leslie Wong

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# REPLACEMENT APPEAL BRIEF TO THE BOARD OF PATENT APPEALS AND INTERFERENCES OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP: APPEAL BRIEF - PATENTS

P.O. BOX 1450

Commissioner for Patents Alexandria, VA22313-1450

Sir:

This is an appeal from the Office Action mailed on April 11, 2003 finally rejecting claims 1-13 and 15-18, all of the claims in the Application, and the Notice of Non-Compliant Brief mailed 15 January 2004. All other claims (claim 14) are cancelled.

This Brief is being filed in triplicate. The U.S. PTO has already been authorized to debit \$165.00 to Deposit Account No. 50-1391 to cover the fee for the appeal, so no further charges are due. Appellants request the opportunity for a personal appearance before the Board of Appeals to argue the issues of this appeal. Any additional costs or fees may also be charged to that Deposit Account. The fee for the personal appearance will be timely paid upon receipt of the Examiner's Answer.

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Mark A. Litman

Name

Signature

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# **REAL PARTY IN INTEREST**

The real party in interest is the assignee of the full right and title to this Application, Nutricepts, Inc., a small entity corporation formed under the Laws of the State of Minnesota.

# **RELATED APPEALS AND INTERFERENCES**

Appellants do not know of any other pending U.S. Patent Applications that are on appeal which have issues that overlap with the issues in this Appeal. No Interference proceedings before the U.S. Patent and Trademark Office are known by Appellants to have any substantive relationship to the subject matter of this Appeal.

# **STATUS OF CLAIMS**

Claims 1-13 and 15-18 are rejected under 35 USC 102(b) As Anticipated by Kuraishi et al., U.S. Patent No. 5,681,598

It is asserted that U.S. Patent No. 5,681,598 (Kuraishi et al.) teaches a process for producing cheese using transglutaminase after cheese formation, and using the transglutaminase in the amounts claimed.

Claims 14 was cancelled during the prosecution of the Application

# **STATUS OF AMENDMENTS**

A Request for Reconsideration was filed after the Final Rejection. No amendments to the claims were requested. All amendments filed during the prosecution of this Application have been entered on the record.

#### SUMMARY OF THE INVENTION

In the manufacture of cheese, there are often significant small pieces or chunks that fall out during the manufacturing process. These pieces are more preferably provided in a commercial environment as larger cheese elements. (Page 15, line 12-30). Merely pressing the cheese pieces together allows for the presence of air to remain in cracks and the attempt at forming larger pieces allows for the cheese to crumble when used.

A process is provided for the structuring of a cheese portion. The process comprises, in sequence:

- a) providing portions of curd or cheese in segments of a first average dimension and having a total surface area,
- b) adding a composition comprising transglutaminase to at least five percent of said total surface area of the curd or cheese segments, said composition providing a bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments,
- c) pressing said portions together to eliminate air between said portions while 1) a bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments, and 2) forming a volume of curds or cheese that is larger than a single portion of said portions of curds or cheese, and
- d) allowing said transglutaminase to bond said portions of curds or cheese together to form a unit of cheese while the bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments. (Original claim 1; and page 15, line 26 through page 16, line 15.)

# **CONCISE STATEMENT OF ISSUES ON APPEAL**

Claims 1-13 and 15-18 are rejected under 35 USC 102(b) As Anticipated by Kuraishi et al., U.S. Patent No. 5,681,598

It is asserted that U.S. Patent No. 5,681,598 (Kuraishi et al.) teaches a process for producing cheese using transglutaminase after cheese formation, and using the transglutaminase in the amounts claimed.

The generic issue in this Appeal is whether or not the Kuraishi et al. reference teaches each and every limitation of the claims on Appeal within its disclosure.

The specific issues in this Appeal is whether or not the differences in the process noted and identified with particularity during arguments by Appellant show that limitations in the claims are not taught by Kuraishi et al. and that the reference must fail.

Claims 1-13 and 15-18 are alternatively rejected under 35 USC 103(a) As Obvious over Kuraishi et al., U.S. Patent No. 5,681,598

The issues are whether: 1) a limitation that is not recited in the claim is obvious, without any teaching from outside the single reference; and 2) without any motivation from within or without the reference, is it obvious to alter the fundamental process of the reference to meet limitations recited in the claims.

### **GROUPING OF CLAIMS**

The following grouping of claims is made in compliance with the requirements of 37 C.F.R. 1.191 for the content of an Appeal Brief. The following grouping of claims is made to expedite this Appeal and narrow issues, and is not intended to waive or limit the right of the Applicants to enforce and defend claims separately, even though they are grouped for convenience in this Appeal.

# Under the Rejection Under 35 USC 102(b)

Claims 1-4, 6-7, 9-13 and 15-17 shall stand or fall with the Patentability of Claim 1 under the Issues on This Appeal

#### Claims 5, 8 and 18 shall stand or fall with the Patentability of Claim 5

These claims each recite the use of "said curds or cheese is allowed to bond is in the range of about 10-80 hours at a temperature is between 40<sup>O</sup>F and 125<sup>O</sup>F."

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# ARGUMENTS OF APPELLANTS - RESPONSE TO THE REJECTIONS

# The rejection under 35 USC 102(b) over Kuraishi et al. is respectfully traversed.

Claims 1-4, 6-7, 9-13 and 15-17 shall stand or fall with the Patentability of Claim 1 under the Issues on This Appeal

The fundamental difference between the practice of the invention and the disclosure of Kuraishi with regard to the use of transglutaminase (TG) may be summarized in the Table below the following comments.

#### Response to the Examiner's Comments

It is to be noted that the Examiner ahs asserted that the only difference between the present invention and that of Kuraishi et al. is the size of the surface area to which the transglutaminase is applied. This is clearly in error when the above comparison is reviewed. The intent of the processes is different, and the relative proportions of materials are significantly different. Where Kuraishi et al. are using the transglutaminase before pressing and after washing, the present claims require that the transglutaminase be present during pressing. This is essentially impossible with Kuraishi et al. where the small curds are washed before further processing. These are substantive differences not anticipated by Kuraishi et al. which have not been addressed in the response.

Kuraishi Process	Claimed Process	Comments
Curd is cooked and	Solid curd segments are	The invention process
stirred in aqueous system	coated with	places TG on curd
in presence of TG	transglutaminase	surface rather than being
(column 7, lines 7-34)		imbibed in curd
Liquid (including TG) is	TG must remain on curd	Removal of TG removes
drained from curd,	segments during process	bonding capability of TG
reducing concentration of	in applied concentration	on curd segments
TG		

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Curd subsequently cut Curd segments are Recutting removes Tg again after TG treatment pressed in presence of from surface during and drainage of liquid TG subsequent pressing with TG therein process TG cannot bond Pressing is done after TG Pressing must be done in presence of TG to effect has reacted and after segments during pressing in Kuraishi as residual TG drained with bonding the TG has been whey removed or reacted The TG bonds cheese There is little (less than The weight gain in curd segments together recited amount) of TG Kuraishi (column 7) at the surface of adjacent present on surface of appears to be due to reaction of curd with curds. The TG must be curd segments in present during pressing Kuraishi during pressing protein in other material to effect the smooth bond step. TG liquid drained, in the aqueous matter. There is no bonding of and curd recut to expose solids with TG to form new surfaces before smooth cheese form draining.

As can be seen from this side-by-side comparison, there are substantial and fundamental differences between the invention as claimed by Applicant and the disclosure of Kuraishi. There is no intention or inherent use of transglutaminase (TG) by Kuraishi to bond segments of curd protein. The treatment by Kuraishi reacts the TG well before pressing, drains TG from the mass prior to pressing, cuts the intermediate curd before pressing, and does not add TG to coat the cut surface of the TG before pressing.

Both the original claims (and as amended) and the new claims 17-18 clearly recite that the transglutaminase must be present on the surface of the curd or cheese segments during pressing, and that the TG effects bonding between the segments. These steps are not possible in the practice of the Kuriashi process. As noted above, the curd is stirred and heated in the presence of TG, bonding the TG to surfaces, without bonding segments together. The liquid, along with any residual TG, is then drained from the curd mass. The curd

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mass, after draining, but without pressing, is then cut again into smaller pieces and further drained. The drained smaller segments are then milled (broken into small pieces again), mixed with salt, and then pressed. This process clearly does not and cannot provide TG on the surface of curd segments in the concentration required at the time of pressing. Kuraishi clearly does not anticipate the invention as claimed.

This limitation is clearly and definitively recited in the claims on Appeal.

Claim 1 specifically recites:

"...pressing said portions together, while the bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments..."

As the pressing and ripening step of Kubaishi et al. is performed after washing of the curds and removal of transglutaminase, and then the curds are cut again and heated again, providing new surfaces (by cutting) without the bonding-sufficient amount of transglutaminase, this explicit and critical limitation of the claim, having the transglutaminase present on the curd surface at the beginning of pressing and ripening to enable binding, this limitation cannot be met by Kubaishi et al. and the rejection is clearly in reversible error.

It is equally obvious that the purpose of the addition of the TG in the process of Kuraishi is to build up mass that cannot be drained during initial steps, and that the TG serves no purpose after formation of the original curd mass (that is not and has not been pressed). It would not be obvious to one skilled in the art to destroy the function of Kuraishi to build up mass during curd development and add TG for no functional benefit described in the art at the later step, with a

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different purpose, as recited in the claims. There would be no rational or reasoned basis for asserting that the claims are obvious under 35v USC 103(a) over Kuraishi.

#### Claims 5, 8 and 18 shall stand or fall with the Patentability of Claim 5

Although Kubaishi et al. disclose the use of temperatures (e.g., 27°C, 31°C, 33°C, 37°C and 38°C at various stages of the process. The present claims call for the use of this specific range of temperatures during ripening after the surface addition of the transglutinase. Note all of these temperatures at different stages in Example 1 of Kubaishi et al. for many of these various temperatures that are uniquely specified for particular manufacturing stages (column 7, lines 7-53). Note that no temperature is given during the ripening stage after the milling and salt addition (column 7, lines 36-47). As no temperature is given for this process step, the limitation is not taught by the reference.

#### Rejection Under 35 USC 103(a)

Claims 1-4, 6-7, 9-13 and 15-17 shall stand or fall with the Patentability of Claim 1 under the Issues on This Appeal

It is to be noted that there is no substantive argument in the first Office Action or the Final Office Action except for the statements that:

"The claims appear to differ as to the surface area. The claimed surface area would be inherent and/or obvious to that of Kuraishi et al as the same amounts and process steps are utilized."

This issue is not founded upon a reading of any limitation in the claim and is therefore without merit. There is no single recitation of a specific surface area in

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any claim, and there is no recitation of any specific ratio dependent opun surface area (proportions being given in weight units or total surface area). There is no basis for this rejection being distinguished from the rejection under 35 USC 102(b) except to offer flexibility in the rejection. No actual difference has been recognized by the Examiner in evaluating the prior art and the claims (even

though a significant number of differences are apparent, as indicated above), so the rejection under 35 USC 103(a) is superfluous, since there are no real

differences to overcome.

The substantial differences that have been noted above would not be obvious to overcome, as the differences are fundamental to the performance of the process, the objective of the process and the use of the materials. As the Rejection has failed to even comprehend these differences, it cannot be accepted as a finding that it is obvious (with no motivating teaching) to alter all of these differences to effect the process of the invention as claimed. That does not even rise to the level of 20/400 hindsight.

The rejection under this ground is clearly in error and must be reversed

# Claims 5, 8 and 18 shall stand or fall with the Patentability of Claim 5

These claims each recite the use of "said curds or cheese is allowed to bond is in the range of about 10-80 hours at a temperature is between 40<sup>o</sup>F and 125<sup>o</sup>F."

In addition to the reasons provided directly above with respect to the legal insufficiency of the rejection under 35 USC 103(a) of the independent claim and other claims, there is absolutely no motivation whatsoever to alter the time and temperature ranges taught by Kuraishi et al. to meet the limitations of these claims. There can be no obviousness without motivation. There is no teaching

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or motivation in the single reference used in this rejection. The reference teaches its own distinct process with a specific objective. There is no basis for asserting that the reference itself teaches that the fundamental process and objectives should be altered to meet the present claims.

The rejection is clearly in error and must be withdrawn.

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## **CONCLUSION**

All rejections of record have been shown in detail to be in error. The rejection should be reversed and all claims should be indicated as allowable.

Applicants believe the claims are in condition for allowance and request reconsideration of the application and allowance of the claims. The Examiner is invited to telephone the below-signed attorney at 952-832-9090 to discuss any questions that may remain with respect to the present application.

Respectfully submitted, GRINDSTAFF et al.

By their Representatives,
MARK A. LITMAN & ASSOCIATES, P.A.
York Business Center, Suite 205
3209 West 76<sup>th</sup> Street
Edina, MN 55435

(952)832.9090

Date 21 January 2004

Mark A. Litman

Reg. No. 26,390

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP: APPEAL BRIEF - PATENTS, P.O. BOX 1450, Commissioner for Patents, Alexandria, VA 22313-1450 on **21 January 2004**.

Name: Mark A. Litman

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# APPENDIX - THE CLAIMS ON APPEAL

1. (PREVIOUSLY AMENDED) A process for the structuring of a cheese portion comprising:

providing portions of curd or cheese in segments of a first average dimension and having a total surface area,

adding to said segments a composition comprising transglutaminase to at least five percent of said total surface area of said segments, said composition providing a bonding-sufficient amount of transglutaminase to said total surface area,

pressing said portions together, while the bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments, to eliminate air between said portions while forming a volume of curds or cheese that is larger than [an] <u>a</u> single portion of said portions of curds or cheese,

allowing said transglutaminase to bond said portions of curds or cheese together to form a unit of cheese.

- (ORIGINAL) The process of claim 1 wherein said composition comprises transglutaminase in the presence of less than a 1:1 weight ratio of casein or caseinate to transglutaminase.
- 3. (PREVIOUSLY AMENDED) The process of claim 1 wherein said composition comprises transglutaminase with less than 10% by weight

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of said transglutaminase composition with respect to cheese protein.

- 4. (ORIGINAL) The process according to claim 1 wherein said transglutaminase is provided to the curds or cheese in an amount of about 0.01-10.0 units per gram of cheese protein.
- 5. (ORIGINAL) The process of claim 4 wherein the time in which said curds or cheese is allowed to bond is in the range of about 10-80 hours at a temperature is between 40°F and 125°F.
- 6. (ORIGINAL) The process according to claim 2 wherein said transglutaminase is provided as a solid mixture of transglutaminase and inorganic filler at a concentration in the range of about 0.02-5 units of transglutaminase per gram of curds or cheese protein.
- 7. (PREVIOUSLY AMENDED) A process for the structuring of a cheese portion comprising:

breaking a single curd portion having a weight of between 2 and 40 kilograms into smaller segments of curd;

adding a composition comprising transglutaminase to said smaller segments of curd in an amount of transglutaminase sufficient to chemically bond said smaller segments of curd together,

pressing said segments of curd together, while the amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd segments to eliminate air between said segments while forming a volume of curds that is larger than Filing Date: January 26, 2001

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50 kilograms, and

allowing said transglutaminase to bond said segments of curds together.

- 8. (ORIGINAL) The process of claim 7 wherein said allowing said transglutaminase to bond said segments of curds together is performed for at least two hours at a temperature between 40°F and 125°F.
- 9. (ORIGINAL) The process of claim 8 wherein said segments of curd cure to form cheese during said allowing said transglutaminase to bond said segments of curds together.
- 10. (ORIGINAL) The process of claim 7 wherein said transglutaminase is added as a solid composition to said smaller segments of curds.
- 11. (ORIGINAL) The process of claim 10 wherein said solid composition of transglutaminase comprises as a mixture of transglutaminase and inorganic solid.
- 12. (ORIGINAL) The process of claim 7 wherein transglutaminase is added to said smaller segments of curd in an amount of 0.001 to 0.5% by weight of transglutaminase to said smaller curd segments.
- 13. (ORIGINAL) The process of claim 12 wherein said transglutaminase is added to said smaller curd segments by a physical process including at least one step selected from the group consisting of tumbling, stirring, agitation,

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spraying, stirring, and shaking.

# 14. (PREVIOUSLY CANCELLED)

- 15. (ORIGINAL) The process of claim 7 wherein said composition comprising transglutaminase comprises transglutaminase in an aqueous carrier, and the composition is free of ingredients that will chemically bond with said transglutaminase.
- 16. The process of claim 12 wherein said smaller segments of curd are chemically bonded by said transglutaminase reacting solely with protein in said smaller curd segments.
- 17. (PREVIOUSLY ADDED) A process for the structuring of a cheese portion comprising the following steps in sequence:
  - a) providing portions of curd or cheese in segments of a first average dimension and having a total surface area,
  - b) adding a composition comprising transglutaminase to at least five percent of said total surface area of the curd or cheese segments, said composition providing a bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments,
  - c) pressing said portions together to eliminate air between said portions while 1) a bonding-sufficient amount of transglutaminase to said total surface area of the curd or

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cheese segments is present on the surface of the curd or cheese segments, and 2) forming a volume of curds or cheese that is larger than a single portion of said portions of curds or cheese, and

- d) allowing said transglutaminase to bond said portions of curds or cheese together to form a unit of cheese while the bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments.
- 18. (PREVIOUSLY ADDED) The process of claim 17 wherein said allowing said transglutaminase to bond said segments of curds together is performed for at least two hours at a temperature between 40°F and 125°F.



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Examiner:

Leslie Wong

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# REPLACEMENT APPEAL BRIEF TO THE BOARD OF PATENT APPEALS AND INTERFERENCES OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Mark A. Litman

Name

Signature

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# **REAL PARTY IN INTEREST**

The real party in interest is the assignee of the full right and title to this Application, Nutricepts, Inc., a small entity corporation formed under the Laws of the State of Minnesota.

# RELATED APPEALS AND INTERFERENCES

Appellants do not know of any other pending U.S. Patent Applications that are on appeal which have issues that overlap with the issues in this Appeal. No Interference proceedings before the U.S. Patent and Trademark Office are known by Appellants to have any substantive relationship to the subject matter of this Appeal.

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The issues are whether: 1) a limitation that is not recited in the claim is obvious, without any teaching from outside the single reference; and 2) without any motivation from within or without the reference, is it obvious to alter the fundamental process of the reference to meet limitations recited in the claims.

#### **GROUPING OF CLAIMS**

The following grouping of claims is made in compliance with the requirements of 37 C.F.R. 1.191 for the content of an Appeal Brief. The following grouping of claims is made to expedite this Appeal and narrow issues, and is not intended to waive or limit the right of the Applicants to enforce and defend claims separately, even though they are grouped for convenience in this Appeal.

# Under the Rejection Under 35 USC 102(b)

Claims 1-4, 6-7, 9-13 and 15-17 shall stand or fall with the Patentability of Claim 1 under the Issues on This Appeal

#### Claims 5, 8 and 18 shall stand or fall with the Patentability of Claim 5

These claims each recite the use of "said curds or cheese is allowed to bond is in the range of about 10-80 hours at a temperature is between 40°F and 125°F."

# Under the Rejection Under 35 USC 103(a)

Claims 1-4, 6-7, 9-13 and 15-17 shall stand or fall with the Patentability of Claim 1 under the Issues on This Appeal

# Claims 5, 8 and 18 shall stand or fall with the Patentability of Claim 5

These claims each recite the use of "said curds or cheese is allowed to bond is in the range of about 10-80 hours at a temperature is between 40°F and 125°F."

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# **ARGUMENTS OF APPELLANTS - RESPONSE TO THE REJECTIONS**

# The rejection under 35 USC 102(b) over Kuraishi et al. is respectfully traversed.

Claims 1-4, 6-7, 9-13 and 15-17 shall stand or fall with the Patentability of Claim 1 under the Issues on This Appeal

The fundamental difference between the practice of the invention and the disclosure of Kuraishi with regard to the use of transglutaminase (TG) may be summarized in the Table below the following comments.

#### Response to the Examiner's Comments

It is to be noted that the Examiner ahs asserted that the only difference between the present invention and that of Kuraishi et al. is the size of the surface area to which the transglutaminase is applied. This is clearly in error when the above comparison is reviewed. The intent of the processes is different, and the relative proportions of materials are significantly different. Where Kuraishi et al. are using the transglutaminase before pressing and after washing, the present claims require that the transglutaminase be present during pressing. This is essentially impossible with Kuraishi et al. where the small curds are washed before further processing. These are substantive differences not anticipated by Kuraishi et al. which have not been addressed in the response.

Kuraishi Process	Claimed Process	Comments
Curd is cooked and	Solid curd segments are	The invention process
stirred in aqueous system	coated with	places TG on curd
in presence of TG	transglutaminase	surface rather than being
(column 7, lines 7-34)		imbibed in curd
Liquid (including TG) is drained from curd, reducing concentration of	TG must remain on curd segments during process in applied concentration	Removal of TG removes bonding capability of TG on curd segments
TG	in applica concontration	on dara dogmonto

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Curd subsequently cut again after TG treatment and drainage of liquid with TG therein	Curd segments are pressed in presence of TG	Recutting removes Tg from surface during subsequent pressing process
Pressing is done after TG has reacted and after residual TG drained with whey	Pressing must be done in presence of TG to effect bonding	TG cannot bond segments during pressing in Kuraishi as the TG has been removed or reacted
The weight gain in Kuraishi (column 7) appears to be due to reaction of curd with protein in other material in the aqueous matter. There is no bonding of solids with TG to form smooth cheese form	The TG bonds cheese curd segments together at the surface of adjacent curds. The TG must be present during pressing to effect the smooth bond	There is little (less than recited amount) of TG present on surface of curd segments in Kuraishi during pressing step. TG liquid drained, and curd recut to expose new surfaces before draining.

As can be seen from this side-by-side comparison, there are substantial and fundamental differences between the invention as claimed by Applicant and the disclosure of Kuraishi. There is no intention or inherent use of transglutaminase (TG) by Kuraishi to bond segments of curd protein. The treatment by Kuraishi reacts the TG well before pressing, drains TG from the mass prior to pressing, cuts the intermediate curd before pressing, and does not add TG to coat the cut surface of the TG before pressing.

Both the original claims (and as amended) and the new claims 17-18 clearly recite that the transglutaminase must be present on the surface of the curd or cheese segments during pressing, and that the TG effects bonding between the segments. These steps are not possible in the practice of the Kuriashi process. As noted above, the curd is stirred and heated in the presence of TG, bonding the TG to surfaces, without bonding segments together. The liquid, along with any residual TG, is then drained from the curd mass. The curd

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mass, after draining, but without pressing, is then cut again into smaller pieces and further drained. The drained smaller segments are then milled (broken into small pieces again), mixed with salt, and then pressed. This process clearly does not and cannot provide TG on the surface of curd segments in the concentration required at the time of pressing. Kuraishi clearly does not anticipate the invention as claimed.

This limitation is clearly and definitively recited in the claims on Appeal.

Claim 1 specifically recites:

"...pressing said portions together, while the bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments..."

As the pressing and ripening step of Kubaishi et al. is performed after washing of the curds and removal of transglutaminase, and then the curds are cut again and heated again, providing new surfaces (by cutting) without the bonding-sufficient amount of transglutaminase, this explicit and critical limitation of the claim, having the transglutaminase present on the curd surface at the beginning of pressing and ripening to enable binding, this limitation cannot be met by Kubaishi et al. and the rejection is clearly in reversible error.

It is equally obvious that the purpose of the addition of the TG in the process of Kuraishi is to build up mass that cannot be drained during initial steps, and that the TG serves no purpose after formation of the original curd mass (that is not and has not been pressed). It would not be obvious to one skilled in the art to destroy the function of Kuraishi to build up mass during curd development and add TG for no functional benefit described in the art at the later step, with a

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different purpose, as recited in the claims. There would be no rational or reasoned basis for asserting that the claims are obvious under 35v USC 103(a) over Kuraishi.

# Claims 5, 8 and 18 shall stand or fall with the Patentability of Claim 5

Although Kubaishi et al. disclose the use of temperatures (e.g., 27°C, 31°C, 33°C, 37°C and 38°C at various stages of the process. The present claims call for the use of this specific range of temperatures during ripening after the surface addition of the transglutinase. Note all of these temperatures at different stages in Example 1 of Kubaishi et al. for many of these various temperatures that are uniquely specified for particular manufacturing stages (column 7, lines 7-53). Note that no temperature is given during the ripening stage after the milling and salt addition (column 7, lines 36-47). As no temperature is given for this process step, the limitation is not taught by the reference.

### Rejection Under 35 USC 103(a)

Claims 1-4, 6-7, 9-13 and 15-17 shall stand or fall with the Patentability of Claim 1 under the Issues on This Appeal

It is to be noted that there is no substantive argument in the first Office Action or the Final Office Action except for the statements that:

"The claims appear to differ as to the surface area. The claimed surface area would be inherent and/or obvious to that of Kuraishi et al as the same amounts and process steps are utilized."

This issue is not founded upon a reading of any limitation in the claim and is therefore without merit. There is no single recitation of a specific surface area in Serial Number: 09/ 770,031 Filing Date: January 26, 2001

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any claim, and there is no recitation of any specific ratio dependent opun surface area (proportions being given in weight units or total surface area). There is no basis for this rejection being distinguished from the rejection under 35 USC 102(b) except to offer flexibility in the rejection. No actual difference has been recognized by the Examiner in evaluating the prior art and the claims (even though a significant number of differences are apparent, as indicated above), so the rejection under 35 USC 103(a) is superfluous, since there are no real differences to overcome.

The substantial differences that have been noted above would not be obvious to overcome, as the differences are fundamental to the performance of the process, the objective of the process and the use of the materials. As the Rejection has failed to even comprehend these differences, it cannot be accepted as a finding that it is obvious (with no motivating teaching) to alter all of these differences to effect the process of the invention as claimed. That does not even rise to the level of 20/400 hindsight.

The rejection under this ground is clearly in error and must be reversed

#### Claims 5, 8 and 18 shall stand or fall with the Patentability of Claim 5

These claims each recite the use of "said curds or cheese is allowed to bond is in the range of about 10-80 hours at a temperature is between 40<sup>o</sup>F and 125<sup>o</sup>F."

In addition to the reasons provided directly above with respect to the legal insufficiency of the rejection under 35 USC 103(a) of the independent claim and other claims, there is absolutely no motivation whatsoever to alter the time and temperature ranges taught by Kuraishi et al. to meet the limitations of these claims. There can be no obviousness without motivation. There is no teaching

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or motivation in the single reference used in this rejection. The reference teaches its own distinct process with a specific objective. There is no basis for asserting that the reference itself teaches that the fundamental process and objectives should be altered to meet the present claims.

The rejection is clearly in error and must be withdrawn.

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# CONCLUSION

All rejections of record have been shown in detail to be in error. The rejection should be reversed and all claims should be indicated as allowable.

Applicants believe the claims are in condition for allowance and request reconsideration of the application and allowance of the claims. The Examiner is invited to telephone the below-signed attorney at 952-832-9090 to discuss any questions that may remain with respect to the present application.

Respectfully submitted, GRINDSTAFF et al.

By their Representatives,
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Date 21 January 2004

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP: APPEAL BRIEF - PATENTS, P.O. BOX 1450, Commissioner for Patents, Alexandria, VA 22313-1450 on **21 January 2004**.

Name: Mark A. Litman

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#### **APPENDIX - THE CLAIMS ON APPEAL**

1. (PREVIOUSLY AMENDED) A process for the structuring of a cheese portion comprising:

providing portions of curd or cheese in segments of a first average dimension and having a total surface area,

adding to said segments a composition comprising transglutaminase to at least five percent of said total surface area of said segments, said composition providing a bonding-sufficient amount of transglutaminase to said total surface area,

pressing said portions together, while the bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments, to eliminate air between said portions while forming a volume of curds or cheese that is larger than [an] <u>a</u> single portion of said portions of curds or cheese,

allowing said transglutaminase to bond said portions of curds or cheese together to form a unit of cheese.

- (ORIGINAL) The process of claim 1 wherein said composition comprises transglutaminase in the presence of less than a 1:1 weight ratio of casein or caseinate to transglutaminase.
- 3. (PREVIOUSLY AMENDED) The process of claim 1 wherein said composition comprises transglutaminase with less than 10% by weight

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of said transglutaminase composition with respect to cheese protein.

- 4. (ORIGINAL) The process according to claim 1 wherein said transglutaminase is provided to the curds or cheese in an amount of about 0.01-10.0 units per gram of cheese protein.
- 5. (ORIGINAL) The process of claim 4 wherein the time in which said curds or cheese is allowed to bond is in the range of about 10-80 hours at a temperature is between 40°F and 125°F.
- 6. (ORIGINAL) The process according to claim 2 wherein said transglutaminase is provided as a solid mixture of transglutaminase and inorganic filler at a concentration in the range of about 0.02-5 units of transglutaminase per gram of curds or cheese protein.
- 7. (PREVIOUSLY AMENDED) A process for the structuring of a cheese portion comprising:

breaking a single curd portion having a weight of between 2 and 40 kilograms into smaller segments of curd;

adding a composition comprising transglutaminase to said smaller segments of curd in an amount of transglutaminase sufficient to chemically bond said smaller segments of curd together,

pressing said segments of curd together, while the amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd segments to eliminate air between said segments while forming a volume of curds that is larger than

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50 kilograms, and

allowing said transglutaminase to bond said segments of curds together.

- 8. (ORIGINAL) The process of claim 7 wherein said allowing said transglutaminase to bond said segments of curds together is performed for at least two hours at a temperature between 40°F and 125°F.
- 9. (ORIGINAL) The process of claim 8 wherein said segments of curd cure to form cheese during said allowing said transglutaminase to bond said segments of curds together.
- 10. (ORIGINAL) The process of claim 7 wherein said transglutaminase is added as a solid composition to said smaller segments of curds.
- 11. (ORIGINAL) The process of claim 10 wherein said solid composition of transglutaminase comprises as a mixture of transglutaminase and inorganic solid.
- 12. (ORIGINAL) The process of claim 7 wherein transglutaminase is added to said smaller segments of curd in an amount of 0.001 to 0.5% by weight of transglutaminase to said smaller curd segments.
- 13. (ORIGINAL) The process of claim 12 wherein said transglutaminase is added to said smaller curd segments by a physical process including at least one step selected from the group consisting of tumbling, stirring, agitation,

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spraying, stirring, and shaking.

# 14. (PREVIOUSLY CANCELLED)

- 15. (ORIGINAL) The process of claim 7 wherein said composition comprising transglutaminase comprises transglutaminase in an aqueous carrier, and the composition is free of ingredients that will chemically bond with said transglutaminase.
- 16. The process of claim 12 wherein said smaller segments of curd are chemically bonded by said transglutaminase reacting solely with protein in said smaller curd segments.
- 17. (PREVIOUSLY ADDED) A process for the structuring of a cheese portion comprising the following steps in sequence:
  - providing portions of curd or cheese in segments of a first a) average dimension and having a total surface area,
  - adding a composition comprising transglutaminase to at least b) five percent of said total surface area of the curd or cheese segments, said composition providing a bonding-sufficient amount of transglutaminase to said total surface area of the curd or cheese segments,
  - pressing said portions together to eliminate air between said c) portions while 1) a bonding-sufficient amount of transglutaminase to said total surface area of the curd or

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cheese segments is present on the surface of the curd or cheese segments, and 2) forming a volume of curds or cheese that is larger than a single portion of said portions of curds or cheese, and

- allowing said transglutaminase to bond said portions of curds d) or cheese together to form a unit of cheese while the bondingsufficient amount of transglutaminase to said total surface area of the curd or cheese segments is present on the surface of the curd or cheese segments.
- 18. (PREVIOUSLY ADDED) The process of claim 17 wherein said allowing said transglutaminase to bond said segments of curds together is performed for at least two hours at a temperature between 40°F and 125°F.